

To: The Bank of East Asia, Limited (“BEA”)  
Facility Management Department –  
Document Imaging Team  
33/F BEA Tower, Millennium City 5  
418 Kwun Tong Road, Kowloon, Hong Kong

致： 東亞銀行有限公司（「東亞銀行」）  
設施管理部 – DI  
香港九龍觀塘道創紀之城五期  
東亞銀行中心 33 樓

Note 註:

1. Please return your completed request form by (i) submitting to BEA branches (ii) mailing to BEA at the above address or (iii) putting in the drop-box at any branch of BEA or (iv) sending email to LEAEREC@hkbea.com.  
請將已填妥的同意書(i)遞交至任何一家東亞銀行分行或(ii)寄回本行上述地址或(iii)投入東亞銀行任何一間分行之收集箱或(iv)電郵至 [LEAEREC@hkbea.com](mailto:LEAEREC@hkbea.com)。
2. This form is **NOT** applicable to request to provide information on any insurance products/ services.  
此同意書並不適用於要求提供任何保險類的產品或服務資訊。
3. Please note that this form was previously titled [Request for Information] and has been renamed to “Request for Providing Wealth Management Information Services Consent Form” on 13 Dec 2024. This renaming does not affect your previously granted consent and revocation and the applicability of revocation of consent subsequently made by this form.  
請注意，本表格原名為 [資訊提供服務同意書]，已於 2024 年 12 月 13 日更名為「要求提供財富管理資訊服務同意書」。此重新命名並不影響您先前授予的同意和取消同意，以及此表格其後所做的取消同意的適用性。

## Request for Providing Wealth Management Information Services Consent Form 要求提供財富管理資訊服務同意書

[For conducting cross-border activities where customers are located in Chinese Mainland / Macau]

【僅供身處中國內地/中國澳門客戶提供跨境服務使用】

日期 Date: \_\_\_\_\_

客戶姓名 Name of customer: \_\_\_\_\_

身份證明文件 Identification document type:

- 香港身份證 HKID Card  
 中國內地居民身份證 Mainland Resident ID Card  
 護照 Passport  
 其他，請註明：\_\_\_\_\_ Other, please specify: \_\_\_\_\_

身份證明文件號碼 Identification Document Number: \_\_\_\_\_

## Consent/ Revocation of the Consent 同意/取消同意

I/We hereby

本人(等)

同意 agree

不同意 do not agree

The Bank of East Asia Limited (“BEA”) to contact me/us to provide information to me/us from time to time<sup>#</sup> regarding the BEA’s products and services (as listed below):

東亞銀行不時聯繫本人(等)以提供有關下述產品及服務之資訊<sup>#</sup>：

- Deposit / Foreign exchange  
存款 / 外幣兌換
- Investment Products\* – Unit Trusts, Bonds (including Certificates of Deposit), Linked Deposits and/or Structured Products.  
投資產品\*—單位信托基金、債券（包括存款証）、掛鈎存款及 / 或結構性產品
- Portfolio review (i.e. provide update regarding my/our holdings with BEA)  
投資組合回顧（即就本人(等)於東亞銀行所持有之組合進行回顧及提供相關最新資訊）
- Financial research (e.g. market outlook)  
市場研究（例如市場分析及展望）
- Risk Assessment Questionnaire  
風險評估問卷
- Customer events and offers (relevant to the above products and services, including direct me/us to articles or videos from social media of BEA)  
客戶活動及優惠（與上述產品及服務有關，包括為本人(等)提供東亞銀行社交媒體的文章及影片）

\* For Wealth Management Connect (Southbound) customers, Investment Product is currently limited to Unit Trusts only. BEA may expand the product offering types based on the list of eligible Wealth Management Connect (Southbound) products at its own discretion from time to time. My/our consent provided herein shall also cover those added products applicable to the Wealth Management Connect account.

\*跨境理財通（南向通）投資產品目前僅限於單位信托基金。東亞銀行日後可根據合資格的跨境理財通（南向通）投資產品清單擴大產品種類。本人(等)之同意亦適用於跨境理財通賬戶之新增投資產品種類。

<sup>#</sup>The information for the above products/services shall include but not limited to product offering documents, marketing materials, research publications, general suggestions, etc. Staff of BEA could contact me/us by phone and/or email.

#上述產品或服務信息包括但不限於產品銷售文件、營銷材料、市場分析、一般建議等。東亞銀行職員可透過電話/電郵與我聯絡。

## Acknowledgement

### 客戶確認

I/We acknowledge and understand that:

本人(等)現確認及明白如下:

1. I/We make the request for information and products/services on my/our own initiatives.  
本人(等)主動要求東亞銀行向本人(等)提供產品及服務資訊。
2. The provision of information or advice on subscription to certain products/services may be subject to certain restrictions.  
提供有關產品/服務資訊或銷售建議可能會受到若干限制。
3. By requesting the provision of any of the above mentioned products and/or services, no binding contractual obligation arises for BEA to provide information or advice on any such products and/or services and that the provision of the requested products and/or services is at all times at the sole discretion of BEA.  
通過要求提供任何上述產品/或服務，不視為東亞銀行有任何合約義務提供相關信息或建議，且提供所要求的產品/服務完全由東亞銀行決定。
4. BEA is under no obligation whatsoever to accede to my/our request to provide documentation, information or advice on the above mentioned products and/or services.  
東亞銀行無義務接受或滿足本人(等)要求提供上述產品/服務之任何文件、信息/建議。
5. BEA may cease providing products and/or services at any time in its sole discretion without obligation to provide me/us with any reason or notice.  
東亞銀行可自行決定隨時停止提供產品/服務，並無需發出任何理由或通知。
6. BEA assumes no duty to give advice or make recommendations. Prior to making any investment decision, I/we should fully understand the economic risks and merits, as well as the legal, tax and accounting characteristics and consequences of the transaction, and make my/our own determination that the investment is consistent with my/our objectives and that I/we are able to assume the risk. I/We shall be solely responsible for obtaining my/our own independent legal tax and financial accounting advice, to the extent I/we deem such advice to be necessary and nothing in any document or communication, whether or not in writing, between I/we and BEA, constitutes such advice.  
東亞銀行無責任就任何投資決策提供建議或推薦。在作出任何投資決定前，本人(等)應自行充分了解經濟風險和收益，以及法律、稅務及會計的特點和後果，並就本人(等)目標及風險承受能力考慮自己是否適合作出有關投資。本人(等)對個人的法律稅務及財務建議負全責。東亞銀行與本人(等)之間的任何文件或溝通，不論是否以書面形式，亦不構成任何法律稅務及財務建議。
7. In relation to any of my/our account, BEA is authorized to act on the instruction given by me/us.  
本人(等)授權東亞銀行根據本人(等)提供的指示行事。
8. I/We hereby consent to the collection, use, storage and handling of my/our personal data and understand and agree that such collection, use, storage and handling of my/our personal data,

handling of data access and correction request will be subject to the Personal Data (Privacy) Ordinance – Personal Information Collection (Customers) Statement as amended from time to time.

本人(等)同意東亞銀行收集、使用、儲存和處理本人(等)的個人資料，並明白及同意該等收集、使用、儲存和處理本人(等)的個人資料之處理將受限於不時修訂的《個人資料（私隱）條例—個人資料收集（客戶）聲明》。

9. BEA shall be entitled to rely upon this consent within 12 months from the date of consent until I/we give written notice of revocation to BEA and such notice is actually received by BEA, whichever is earlier. I/We hereby confirm that my/our request to BEA, as specified in this form, is not a result of any marketing, solicitation or offering activities by BEA. Unless otherwise specified, any information provided pursuant to this request is for informational purpose only and such information shall not be construed as investment recommendation or advice.

東亞銀行有權在本同意書簽署日期的12個月內依靠此同意，或直至東亞銀行收訖本人(等)以書面形式通知東亞銀行取消此同意，以較早出現的情況為準。本人(等)確認在本表格中向東亞銀行提出的同意並不是由於東亞銀行任何行銷、招攬或邀約活動所產生。除非另有闡述，否則根據此同意書所提供的任何信息僅供參考，不應被視為投資建議或意見。

10. Upon my/our request to BEA for providing investment products information that are suitable for me/us, I understand BEA will provide such information based on my/our latest Risk Assessment Questionnaire (“RAQ”) result maintained with BEA. I/We understand it is my/our responsibility to maintain a valid RAQ result and conduct necessary update to the result in order to reflect my/our latest personal circumstances, including investment objective, investment horizon, risk tolerance and financial situation.

在本人(等)要求東亞銀行提供適合本人(等)的投資產品資訊時，本人(等)明白東亞銀行將根據本人(等)在東亞銀行之最新風險評估問卷結果提供相關資訊，並明白本人(等)之責任保持有效的風險評估問卷結果，及對結果進行必要的更新，以反映本人(等)最新的個人情況，包括：投資目標、投資年期、風險承受能力和財務狀況。

11. I/We understand this consent shall not replace any of my/our choice regarding direct marketing communicated by me/us prior to this consent with BEA. My/Our choice regarding direct marketing submitted presently or in the future (if any) and the update of which shall not have any effect to this consent, and vice versa.

本人(等)明白此同意書並不會取代本人(等)在提交此同意書之前曾向東亞銀行表達之任何其他有關直接促銷的選擇。本人(等)現在或將來提交其他有關直接促銷的選擇（如有）及其更新，並不會對此同意有任何影響，反之亦然。

[Applicable to the Revocation of Consent only]

[只適用於取消同意]

1. By signing this document, I/we acknowledge and agree to revoke the consent given to BEA regarding its provision of information about its products and services to me/us. I/We understand

that such revocation is voluntary and that I/we are not being coerced or unduly influenced to sign this document.

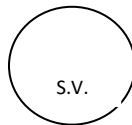
簽署本文件即表示本人(等)承認並同意取消給予東亞銀行向本人(等)提供有關其產品及服務的資訊的同意。本人(等)理解該取消屬自願的，而本人(等)並沒有受到脅迫或不當影響簽署本文件。

2. I/We agree that this document should be submitted to BEA not less than 14 business days prior to the actual date of revocation.

本人(等)同意於實際取消日期前不少於 14 個營業日向東亞銀行提交此文件。

Yours faithfully,

此致



Customer's Signature 客戶簽署

(Please use the signature(s) on record at BEA 請用留存東亞銀行記錄之印鑑簽署)

For Bank Use Only 銀行專用	
Customer consent form	
Form received by:	
Form received method:	*Branch / Email / branch drop-in box / post / third party (delete as appropriate)
Form reviewed by:	
Call back by:	
Call back date & time:	
(For email/ branch drop-in box/ post/ third party submission)	
Customer consent warning input	
Handled by:	
Reviewed by:	