

SupremeGold Services

General fees

Effective since 1st April, 2005

Types of fee	Fees
Monthly service fee ¹ (based on Average Daily Relationship Balance ² of the last month) – HKD1,000,000 or above – HKD500,000 or above and below HKD1,000,000 – Below HKD500,000	Waived HKD30 HKD200
Re-issuance of SupremeGold Card due to loss of card	HKD50 per card
Cash withdrawal performed via "VISA PLUS" ATM worldwide	HKD25 per transaction
Cash withdrawal performed via "JETCO" ATM in China or Macau	HKD25 per transaction
Interbank transfer performed via "JETCO" ATM carrying the symbol of "Interbank Transfer"	HKD10 per transaction
Cash withdrawal performed via our China or Macau branches	HKD50 per transaction

¹ Monthly service fee will be debited against SupremeGold – Statement Savings Account. HKD deposit will be debited first, if the HKD deposit is not sufficient to pay the fee, foreign currency deposits in alphabetical order will be debited to make up the fee amount.

² Average Daily Relationship Balance is the daily average of all balances of your SupremeGold – Sub-accounts and the products that you have selected to incorporate in your SupremeGold Account Statement (excluding the balances of foreign exchange margin trading account, mortgage loans and credit card and including only the cash values of insurance policies for insurance).

SupremeGold Services

Fees and charges on services concerning securities traded on The Stock Exchange of Hong Kong Limited

Effective since 18th December, 2006

Categories of fee and charge	Payable to	Fees
(A) Trade-related services		
Brokerage		
– Through SupremeGold Centre	BEA	0.25% on gross consideration (minimum HKD100)
– Through SupremeGold Stock Investment Hotline		
• Customer Service Representative (manned service)	BEA	0.25% on gross consideration (minimum HKD100)
• Interactive Voice Recognition System	BEA	0.25% on gross consideration (minimum HKD80)
– Through the Internet	BEA	0.25% on gross consideration (minimum HKD80)
Transaction levy	SFC	0.004% on gross consideration
Trading fee	SEHK	0.005% on gross consideration
Trading tariff	SEHK	Nil
Stamp duty	HKSARG	0.1% on gross consideration and be rounded up to the nearest HKD1
(B) Scrip handling and settlement-related services		
Securities deposit fee	BEA	Nil
Certificate deposit fee ^{1,2} (including those deposited for settlement)	BEA	HKD5 per board lot (minimum HKD30; maximum HKD500)
Certificate withdrawal fee	BEA	HKD5 per board lot (minimum HKD100)
Fee for redemption of debt securities upon maturity	BEA	HKD100 per transaction
Fee for shares transfer from / to other brokers / custodians / stock pledgees through Settlement Instruction (S.I.) / Investor Settlement Instruction (I.S.I.)	BEA and CCASS	HKD30 per transaction plus CCASS charge (stock settlement fee)
Transfer deed stamp duty ³	HKSARG	HKD5 per transfer deed
Stock settlement fee	CCASS	0.002% on gross consideration (minimum HKD2; maximum HKD100)

Categories of fee and charge	Payable to	Fees
Money settlement fee	BEA	Nil
Compulsory share buy-back fee	BEA	Nil
(C) Information services		
Fee for Real-time Stock Price Quotes Service through the Internet ⁴	BEA	HKD280 per month
(D) Account maintenance		
Semi-annual safe custody charge ⁵	BEA	HKD0.2 per board lot or part thereof (minimum HKD100; maximum HKD2,500)
Stock balance or account confirmation fee	BEA	HKD200 per confirmation
Dormant account fee	BEA	Nil
(E) Nominee services and corporate actions		
Bonus shares / Warrants collection fee	BEA	HKD30 per transaction
Cash dividend / Debt securities interest collection fee	BEA	0.5% on dividend / interest amount (minimum HKD30; maximum HKD2,500)
Scrip dividend collection fee	BEA	0.5% on dividend amount (minimum HKD30; maximum HKD2,500)
Handling fee for preferential offer / open offer	BEA	HKD100 per transaction
Handling fee for cash offer	BEA	HKD100 per transaction
Handling fee for rights issue	BEA	HKD100 per transaction
Handling fee for privatisation / stock takeover for physical scrips	BEA	HKD5 per board lot (minimum HKD100; maximum HKD500)
Handling fee for privatisation / stock takeover through CCASS	BEA	HKD30 per transaction
Handling fee for shares consolidation, splitting, exchange for physical scrips	BEA	HKD5 per board lot (minimum HKD100; maximum HKD500)
Handling fee for shares consolidation, splitting, exchange through CCASS	BEA	HKD30 per transaction
Fee for warrants conversion to ordinary shares	BEA	HKD100 per transaction
Corporate communication fee	BEA	HKD100 per annum

Categories of fee and charge	Payable to	Fees
Scrip fee (shares / warrants on book-close date with dividend issue)	CCASS	HKD1.5 per board lot
Shares registration fee	BEA	HKD5 per board lot (minimum HKD100; maximum HKD500)
	Share Registrar	HKD2.5 per share certificate (subject to change)
(F) Financing and other services		
Handling fee for application for new share issue	BEA	HKD100 per application
	SEHK Participants	1% commission of new share issue successfully allotted
Handling fee for tendering for Exchange Fund Notes / CMU instruments	BEA	HKD100 per application plus 0.1% commission of Exchange Fund Notes / CMU instruments successfully allotted
Handling fee for endorsement on IPO application form in name of customer	BEA	HKD50 per application
IPO financing charges	BEA	Market rate / Negotiable ⁶
Handling fee for dividend / bonus claim		
	– Cash dividend collection (each collection)	BEA
– Bonus shares / Warrants	BEA	HKD250 per claim
Overdue interest	BEA	Nil
Photocopy charge	BEA	HKD20 per page
Fee for issuing certified transfer deed	BEA	Nil
Forced liquidation fee	BEA	Nil
Fee for hold mail / direct mail to third parties	BEA	HKD800 per year

¹ The charge for a jumbo share certificate shall be calculated on the number of board lot by which it is divisible.

² One share certificate is to be regarded as one board lot if there is no specific denomination of board lot size.

³ Share certificates registered in name of HKSCC Nominees Limited are not subject to transfer deed stamp duty.

⁴ The monthly fee can be waived subject to there being transactions (buy or sell) executed through our Bank for an aggregate amount of not less than HKD200,000 during that month.

⁵ Safe custody charge is payable semi-annually according to the customer's portfolio as at 1st June and 1st December (or the preceding working day if it is a non-working day).

⁶ IPO financing charges are negotiable and subject to certain conditions. Please contact our Securities Lending Department at 3608 0908 for further information.

Abbreviations

BEA	The Bank of East Asia, Limited
CCASS	Central Clearing and Settlement System
HKSARG	The Government of the Hong Kong Special Administrative Region
SEHK	The Stock Exchange of Hong Kong Limited
SFC	The Securities and Futures Commission

Remark

For all other charges of custodial services and SupremeGold – Sub-accounts, please refer to the charges for their respective account categories as listed in the Bank Charges Guidebook.