

SupremeGold Services

General fees

Category	Charge per item
(A) Deposit services	
Monthly service fee ¹ (based on Average Daily Relationship Balance ² of the last month)	
– HK\$1,000,000 or above	Waived
– HK\$500,000 or above and below HK\$1,000,000	HK\$30 per month
– Below HK\$500,000	HK\$200 per month
Account closed by customer within 3 months from date of opening	HK\$150 per account (or its equivalent in any deposit currency)
Improperly conducted account closed by BEA	HK\$150 per account (or its equivalent in any deposit currency)
Cash withdrawal performed via our China or Macau branches	HK\$50 per transaction
(B) ATM card services	
Re-issuance of SupremeGold Card due to loss of card	HK\$50 per card
Cash withdrawal performed via a VISA PLUS ATM worldwide	HK\$25 per transaction
Cash withdrawal performed via a JETCO ATM in China or Macau	HK\$25 per transaction
Interbank transfer performed via a JETCO ATM carrying the symbol of Interbank Transfer	HK\$10 per transaction

Notes:

¹ Monthly service fee will be debited against SupremeGold – Statement Savings Account. HKD deposit will be debited first, if the HKD deposit is not sufficient to pay the fee, foreign currency deposits in alphabetical order will be debited to make up the fee amount.

² Average Daily Relationship Balance is the daily average of all balances of your SupremeGold – sub-accounts and the products that you have selected to incorporate in your SupremeGold Account Statement (excluding the balances of foreign exchange margin trading account, mortgage loans and credit card and including only the cash values of insurance policies for insurance).

Securities Account services

Securities traded on The Stock Exchange of Hong Kong Limited

Category	Payable to	Charge per item
(A) Trade-related services		
Brokerage		
– Through SupremeGold Centre	BEA	0.25% on gross consideration (minimum HK\$100)
– Through SupremeGold Stock Investment Hotline	BEA	0.25% on gross consideration (minimum HK\$100)
• Customer Service Representative (manned service)	BEA	0.25% on gross consideration (minimum HK\$80)
• Interactive Voice Recognition System	BEA	0.25% on gross consideration (minimum HK\$80)
– Through the Internet	BEA	0.25% on gross consideration (minimum HK\$80)
Transaction levy	SFC	0.004% on gross consideration
Trading fee	SEHK	0.005% on gross consideration
Trading tariff	SEHK	Nil
Stamp duty	HKSARG	0.1% on gross consideration and be rounded up to the nearest HK\$1
(B) Scrip handling and settlement-related services		
Securities deposit fee	BEA	Nil
Certificate deposit fee ^{1, 2} (including those deposited for settlement)	BEA	HK\$5 per board lot (minimum HK\$30; maximum HK\$500)
Certificate withdrawal fee	BEA	HK\$5 per board lot (minimum HK\$100)
Fee for redemption of debt securities upon maturity	BEA	HK\$100 per transaction
Fee for shares transfer from/to other brokers/custodians/ stock pledgees through Settlement Instruction (S.I.)/ Investor Settlement Instruction (I.S.I.)	BEA and CCASS	HK\$30 per transaction plus CCASS charge (stock settlement fee)
Transfer deed stamp duty ³	HKSARG	HK\$5 per transfer deed
Stock settlement fee	CCASS	0.002% on gross consideration (minimum HK\$2; maximum HK\$100)
Money settlement fee	BEA	Nil

Category	Payable to	Charge per item
Compulsory share buy-back fee	BEA	Nil
(C) Information services		
Fee for Real-time Stock Price Quotes Service through the Internet ⁴		
<ul style="list-style-type: none"> – Per Quote Access <ul style="list-style-type: none"> • 1,000 free quotes per month are provided, plus an additional 200 free quotes for every HK\$50,000 in transactions executed (calculated on the aggregate transaction volume as at month end) – Continuous Access <ul style="list-style-type: none"> • The monthly fee will be waived if buy/sell transactions executed through BEA total HK\$200,000 or more during that month 	BEA	HK\$0.1 per quote (minimum of HK\$10 and maximum HK\$280 per month)
	BEA	HK\$280 per month
(D) Account maintenance		
Semi-annual safe custody charge ⁵	BEA	HK\$0.2 per board lot or part thereof (minimum HK\$100; maximum HK\$2,500)
Stock balance or account confirmation fee	BEA	HK\$200 per confirmation
Dormant account fee	BEA	Nil
(E) Nominee services and corporate actions		
Bonus shares/Warrants collection fee	BEA	HK\$30 per transaction
Cash dividend/Debt securities interest collection fee	BEA	0.5% on dividend/interest amount (minimum HK\$30; maximum HK\$2,500)
Scrip dividend collection fee	BEA	0.5% on dividend amount (minimum HK\$30; maximum HK\$2,500)
Handling fee for preferential offer/open offer	BEA	HK\$100 per transaction
Handling fee for cash offer	BEA	HK\$100 per transaction
Handling fee for rights issue	BEA	HK\$100 per transaction
Handling fee for privatisation/stock takeover for physical scrips	BEA	HK\$5 per board lot (minimum HK\$100; maximum HK\$500)
Handling fee for privatisation/stock takeover through CCASS	BEA	HK\$30 per transaction

Category	Payable to	Charge per item
Handling fee for shares consolidation, splitting, exchange for physical scrips	BEA	HK\$5 per board lot (minimum HK\$100; maximum HK\$500)
Handling fee for shares consolidation, splitting, exchange through CCASS	BEA	HK\$30 per transaction
Fee for warrants conversion to ordinary shares	BEA	HK\$100 per transaction
Corporate communication fee	BEA	HK\$100 per annum
Scrip fee (shares/warrants on book-close date with dividend issue)	CCASS	HK\$1.5 per board lot
Shares registration fee	BEA	HK\$5 per board lot (minimum HK\$100; maximum HK\$500)
	Share Registrar	HK\$2.5 per share certificate (subject to change)
(F) Financing and other services		
Handling fee for application for new share issue	BEA	HK\$100 per application
	SEHK Participants	1% commission of new share issue successfully allotted
Handling fee for tendering for Exchange Fund Notes/CMU Instruments	BEA	HK\$100 per application plus 0.1% commission of Exchange Fund Notes/CMU instruments successfully allotted
Handling fee for endorsement on IPO application form in name of customer	BEA	HK\$50 per application
IPO financing charges	BEA	Market rate/Negotiable ⁶
Handling fee for dividend/bonus claim	BEA	HK\$250 per claim
	BEA	HK\$250 per claim
Overdue interest	BEA	Nil
Photocopy charge	BEA	HK\$20 per page
Fee for issuing certified transfer deed	BEA	Nil
Forced liquidation fee	BEA	Nil
Fee for hold mail/direct mail to third parties	BEA	HK\$800 per year

Notes:

¹ The charge for a jumbo share certificate shall be calculated on the number of board lot by which it is divisible.

² One share certificate is to be regarded as one board lot if there is no specific denomination of board lot size.

- ³ Share certificates registered in name of HKSCC Nominees Limited are not subject to transfer deed stamp duty.
- ⁴ Only one of the services can be selected. Any unused quotes will not be carried forward to the next month.
- ⁵ Safe custody charge is payable semi-annually according to the customer's portfolio as at 1st June and 1st December (or the preceding working day if it is a non-working day).
- ⁶ IPO financing charges are negotiable and subject to certain conditions. Please contact your Relationship Manager for further information.

CMU Instruments (“CMUI”)

Category	Charge per item
Deposit/Delivery transaction charges – Up to HK\$5,000,000 nominal amount – Above HK\$5,000,000 nominal amount	1/32% on nominal amount 1/96% on nominal amount (minimum HK\$500; maximum HK\$3,000)
Settlement Instruction (S.I) for receipt/delivery of CMUI including Exchange Fund Notes within CCASS	HK\$30 per transaction
Semi-annual safe custody charge	0.03% on nominal amount (minimum HK\$150; maximum HK\$3,000)
Commission on interest collection	0.5% on interest amount (minimum HK\$50; maximum HK\$2,500)
Commission on handling redemption	0.2% on redemption amount (maximum HK\$2,000)
Tendering fee for subscription of the new issue of CMUI including Exchange Fund Notes	HK\$100 per application

Remarks:

- The above-mentioned charges do not include any out-of-pocket expenses which will be borne by the customer and are subject to change from time to time.
- Safe custody charge is payable semi-annually according to the customer's portfolio as at 1st June and 1st December (or the preceding working day if it is a non-working day).

Unit trusts

Category	Charge per item
Safe custody charge	Waived
Portfolio transfer <ul style="list-style-type: none"> – No change of beneficial ownership <ul style="list-style-type: none"> • Customer own name to nominee name • Nominee name to customer own name – Change of beneficial ownership <ul style="list-style-type: none"> • Customer own name to nominee name • Nominee name to customer own name • Nominee name to another nominee name 	Waived HK\$100 HK\$100 HK\$100 HK\$100
Dividend Collection <ul style="list-style-type: none"> – Dividend in cash form – Dividend in re-investment form <ul style="list-style-type: none"> • If 0.5% on dividend consideration is equal or less than HK\$30 • If 0.5% on dividend consideration is greater than HK\$30 	Waived Waived HK\$30
Handling fee for IPO application for new issue unit trust	HK\$100 per application

Remark:

- The above-mentioned charges do not include any out-of-pocket expenses and commissions/charges of fund houses upon subscription/redemption/switching of funds which will be borne by the customer and are subject to change from time to time.

Foreign stock trading

Category	Charge per item
Transaction cost for buying and selling equities <ul style="list-style-type: none"> – Handling fee (minimum transaction amount HK\$500,000) – Brokerage (<i>for reference only</i>) <ul style="list-style-type: none"> • Asia • Australia • Europe • Japan • United States of America – Other market fees and out-of-pocket expenses (which including but not limited to levy, clearing and custodian fee) 	0.3% on gross consideration (minimum HK\$500) 0.3% (minimum SGD10 for Singapore) 0.3% - 0.5% (minimum USD50) 0.2% - 0.3% (minimum USD50 – USD100); stamp duty of 0.5% applicable to UK by transaction 0.25% - 1.15% (minimum JPY2,500) USD0.1 per share or 0.25% (minimum USD50 – USD100) Borne by the customer
Deposit/Delivery of stock	USD50 per transaction per stock or its equivalent in any currency
Semi-annual safe custody charge <ul style="list-style-type: none"> – First 10,000 shares – Thereafter 	USD0.05 per share USD0.025 per share (minimum USD25) or its equivalent in any currency
Commission on dividend collection	0.5% on gross dividend amount (minimum USD2.5) or its equivalent in any currency

Remarks:

- The above-mentioned charges do not include any out-of-pocket expenses which will be borne by the customer and are subject to change from time to time.
- Charges on deposit/delivery of stock and dividend collection do not include the tariffs charged by our foreign agents which have to be paid by the customers and are subject to change from time to time.

Foreign bonds

Category	Charge per item
Deposit/Delivery of bonds	1/8% on face value (minimum USD40; maximum USD350) or its equivalent in any currency
Semi-annual safe custody charge	
– Face value up to USD1,000,000	USD0.55 per USD1,000
– Thereafter	USD0.25 per USD1,000 (minimum USD20) or its equivalent in any currency
Commission on interest collection	USD5 or its equivalent in any currency
Commission on handling redemption	1/8% on redemption amount (minimum USD40; maximum USD350) or its equivalent in any currency

Remarks:

- The above-mentioned charges do not include any out-of-pocket expenses which will be borne by the customer and are subject to change from time to time.
- Charges on deposit/delivery of bonds, interest collection and handling redemption do not include the tariffs charged by our foreign agents which have to be paid by the customers and are subject to change from time to time.

Abbreviations

BEA The Bank of East Asia, Limited
 CMU Central Moneymarkets Unit
 HKSCC Hong Kong Securities Clearing Company
 SEHK The Stock Exchange of Hong Kong Limited

CCASS Central Clearing and Settlement System
 HKSARG The Government of the Hong Kong Special Administrative Region
 IPO Initial Public Offering
 SFC The Securities and Futures Commission