

東亞銀行職位申請書
THE BANK OF EAST ASIA
APPLICATION FORM FOR EMPLOYMENT

申請職位 Position Applied For		
部門 Department		
A 部. 個人資料 (*以香港身份證/護照為準) Section A. Personal Particulars (*as stated on HKID Card/Passport)		
英文姓名 Name in English*		
先生 Mr. 女士 Ms.		
(姓氏 Surname)	(名字 Given Name)	(別名 Alias)
中文姓名 Name in Chinese*	出生日期 (日/月/年) Date of Birth (dd/mm/yyyy)*	只供內部填寫 For Official Use Only
香港身份證/護照號碼 HKID Card/Passport No.*	護照簽發國家 (如適用) Issuing Country of Passport (if applicable)*	
住址 Residential Address		電話號碼 Telephone No.
地區 District		住宅 Residential - 辦公室 Office - 流動電話 Mobile -
通訊地址(如與住址不同) Correspondence Address (if different from Residential Address)		電郵地址 Email Address

B 部. 學歷 (按時序列出) Section B. Education (in chronological order)			
日期(日/月/年) Date(dd/mm/yyyy)		學院名稱 (請註明全日/兼讀制) Name of Institution (Please state Full-time or Part-time)	獲取的最高學歷 Highest Level / Specialization Attained
由 From	至 To		
中學或以下就學詳情 Secondary or below			
專上及/或大學就學詳情 Post-secondary and/or Tertiary			
其他 Others			
(如需要請自行加行 Please add lines to the table if required.)			
年 Year (yyyy)	主要考試 Major Examination	成績 Result & Level Attained	
	<input type="checkbox"/> 香港中學會考 HKCEE <input type="checkbox"/> 香港中五程度 Form 5 <input type="checkbox"/> 普通教育文憑試普通程度 GCE O-Level <input type="checkbox"/> 其他(請註明) Others(please specify)	英文 English () 中文 Chinese () 數學 Mathematics () 其他 Others: _____	
	<input type="checkbox"/> 香港高級程度會考 HKALE <input type="checkbox"/> 香港高等程度會考 HKHLE <input type="checkbox"/> 香港中七程度 Form 7 <input type="checkbox"/> 普通教育文憑試高級程度 GCE A-Level <input type="checkbox"/> 其他(請註明) Others(please specify)	英文 English () 中文 Chinese () 數學 Mathematics () 其他 Others: _____	

C 部. 中介人考試及登記狀況 Section C. Intermediaries Examination & Registration Status		
證券中介人登記狀況 Relevant Individual Registration Status: <input type="checkbox"/> 本人現在或過去從沒有登記/註冊為有關人士/持牌代表 I have never registered / licensed as a relevant individual / licensed representative. <input type="checkbox"/> 本人現在沒有，但過去曾經登記/註冊為有關人士/持牌代表 I was a relevant individual / licensed representative in the past. 登記/註冊號碼 Registration / CE No. _____ 持續培訓本年度開始日期 CPT Initial date this year _____ 登記/註冊的受規管活動類別 Types of regulated activities registered / licensed _____ 登記/註冊時段 Period of registration / licensing _____ <input type="checkbox"/> 本人現在有登記/註冊為有關人士/持牌代表 I am currently a relevant individual / licensed representative. 登記/註冊號碼 Registration / CE No. _____ 持續培訓本年度開始日期 CPT Initial date this year _____ 登記/註冊的受規管活動類別 Types of regulated activities registered / licensed _____		保險中介人登記狀況 Insurance Intermediary Registration Status: <input type="checkbox"/> 從沒有登記 No <input type="checkbox"/> 現在沒有，但過去曾經登記 Yes, in the past 登記號碼 Registration No. _____ <input type="checkbox"/> 現在有登記 Yes, at present 登記號碼 Registration No. _____
		強積金中介人登記狀況 MPF Intermediary Registration Status: <input type="checkbox"/> 從沒有登記 No <input type="checkbox"/> 現在沒有，但過去曾經登記 Yes, in the past 登記號碼 Registration No. _____ <input type="checkbox"/> 現在有登記 Yes, at present 登記號碼 Registration No. _____
通過考試合格日期 (日/月/年) Date of Passing the Examination (dd/mm/yyyy)	課程考試 Examination Programme	試卷 Examination Paper
	香港證券專業學會證券及期貨從業員資格考試 HKSI Licensing Exam	<input type="checkbox"/> 卷一. 基本證券及期貨規例 Paper 1. Fundamentals of Securities & Futures Regulation
	香港證券專業學會證券及期貨從業員資格考試 HKSI Licensing Exam	<input type="checkbox"/> 卷七. 金融市場 Paper 7. Financial Markets
	香港證券專業學會證券及期貨從業員資格考試 HKSI Licensing Exam	<input type="checkbox"/> 卷八. 證券 Paper 8. Securities
	香港證券專業學會證券及期貨從業員資格考試 HKSI Licensing Exam	<input type="checkbox"/> 卷十一. 機構融資 Paper 11. Corporate Finance
	香港證券專業學會證券及期貨從業員資格考試 HKSI Licensing Exam	<input type="checkbox"/> 卷十二. 資產管理 Paper 12. Asset Management
	香港證券專業學會基本課程考試 HKSI Foundation Programme Exam	<input type="checkbox"/> 卷一. 金融市場監管架構 Paper 1. Regulatory Framework & Financial Markets
	香港證券專業學會基本課程考試 HKSI Foundation Programme Exam	<input type="checkbox"/> 卷二. 證券投資 Paper 2. Securities Investment
	香港證券專業學會基本課程考試 HKSI Foundation Programme Exam	<input type="checkbox"/> 卷三. 衍生工具投資 Paper 3. Derivatives Investment
	香港證券專業學會文憑課程考試 HKSI Diploma Programme Exam	<input type="checkbox"/> 卷一. (不設中文譯名, 請參照英文試卷名稱) Paper 1. Economics & Financial Markets & Analytical Tools
	香港證券專業學會文憑課程考試 HKSI Diploma Programme Exam	<input type="checkbox"/> 卷二. (不設中文譯名, 請參照英文試卷名稱) Paper 2. Law, Code and Ethics
	香港證券專業學會文憑課程考試 HKSI Diploma Programme Exam	<input type="checkbox"/> 卷三. (不設中文譯名, 請參照英文試卷名稱) Paper 3. Investment Analysis & Portfolio Management
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元一. (不設中文譯名, 請參照英文試卷名稱) Module 1. Economics
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元二. (不設中文譯名, 請參照英文試卷名稱) Module 2. Financial Markets and Instruments
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元三. (不設中文譯名, 請參照英文試卷名稱) Module 3. Quantitative Methods and Accounting for Finance
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元四. (不設中文譯名, 請參照英文試卷名稱) Module 4. Regulatory Framework: Securities and Futures Industry
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元五. (不設中文譯名, 請參照英文試卷名稱) Module 5. Corporate Capital, Governance, and Takeovers
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元六. (不設中文譯名, 請參照英文試卷名稱) Module 6. Advanced Investment Analysis
	香港證券專業學會金融市場專業文憑 HKSI Professional Diploma in Financial Markets	<input type="checkbox"/> 單元七. (不設中文譯名, 請參照英文試卷名稱) Module 7. Portfolio Management

C 部. 中介人考試及登記狀況 (續上頁) Section C. Intermediaries Examination & Registration Status (Continued)		
	保險中介人資格考試 Insurance Intermediaries Qualifying Exam	<input type="checkbox"/> 卷一. 保險原理及實務 Paper 1. Principles and Practice of Insurance
	保險中介人資格考試 Insurance Intermediaries Qualifying Exam	<input type="checkbox"/> 卷二. 一般保險 Paper 2. General Insurance
	保險中介人資格考試 Insurance Intermediaries Qualifying Exam	<input type="checkbox"/> 卷三. 長期保險 Paper 3. Long Term Insurance
	保險中介人資格考試 Insurance Intermediaries Qualifying Exam	<input type="checkbox"/> 卷五. 投資相連長期保險 Paper 5. Investment-linked Long Term Insurance
	強制性公積金中介人考試 Mandatory Provident Fund Intermediaries Exam	<input type="checkbox"/> 強制性公積金計劃 Mandatory Provident Fund Schemes

D 部. 截至目前為止的全部就業詳情 ¹ (按時序列出) Section D. Full Employment Record to date ¹ (in chronological order)					
日期(日/月/年) Date(dd/mm/yyyy)		公司名稱 Name of Company	最後受僱職位 Last Position	最後工資 ² Last Wages ²	離職原因 Reason for Leaving
由 From	至 To				
(如需要請自行加行 Please add lines to the table if required.)					

¹ 包括全職、兼職、臨時工、合約、散工、自由業、實習、學徒訓練、自僱等 Include full-time, part-time, temporary, contract, casual, freelance, internship, apprenticeship, self-employed, etc.

² 請將每月基本薪金、津貼、花紅、佣金、超時工作薪酬等分拆填報 Please provide breakdown of the wages, monthly basic salary, allowance, bonus, commission, overtime pay, etc.

H 部. 其他資料 (續上頁) Section H. Other Information (Continued)	
你是否現在或曾經有破產紀錄或涉及任何破產法律程序? 如有, 請提供詳情。Have you ever been declared bankrupt or involved in any bankruptcy proceedings? If yes, please state details.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
你是否現在或曾經有任何財務安排及/或面臨任何潛在的財政困難而可能導致你將無能力償還及/或會涉及破產法律程序 (包括與債權人作出債務償還或重整協議)? 如有, 請提供詳情。Have you ever had any financial arrangements and/or potential financial difficulties such that you may be unable to repay your debts and/or subject to any bankruptcy proceedings (including entering into a composition or scheme of arrangement with your creditors)? If yes, please state details.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
你是否現在或曾經被香港金融管理局、證券及期貨事務監察委員會、香港保險業聯會、其他專業、監管或調查機構對你採取譴責、施以紀律處分或進行調查? 如有, 請提供詳情。Have you ever been reprimanded or disciplined or currently subject to any investigation by the Hong Kong Monetary Authority, Securities and Futures Commission, Hong Kong Federation of Insurers, or any other professional, regulatory or investigatory bodies? If yes, please state details.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
你是否現在或曾經擔任某個正在或已經清盤或以其他方式解散, 或其牌照或註冊(視屬何情況而定)已經撤銷的認可機構的董事、行政總裁或經理 ² ? 如有, 請提供詳情。Have you ever been a director or a chief executive or a manager ² of an authorized institution which is being or has been wound up or otherwise dissolved or its license or registration, as the case may be, has been revoked? If yes, please state details.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No

I 部. 聲明 - 本行以作登記有關人士之用 (如不適用可選擇不填寫) Section I. Self-Declaration - for the Purpose of Registration as a Relevant Individual of the Bank (Optional if not applicable)	
本人在香港或其他地方是否或曾否被裁定或被控干犯任何刑事罪行(輕微罪行除外 ³), 不論該項定罪的證據是否可在香港或其他地方進行的法律程序中獲接納。I am or have been, in Hong Kong or elsewhere convicted of or charged with any criminal offence (other than a minor offence ³) whether or not evidence of such conviction is admissible in proceedings in Hong Kong or elsewhere.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否成為規管機構 ⁴ 或刑事調查機構 ⁵ 採取紀律行動或進行調查 ⁶ (視屬何情況而定)的對象。I am or have been, in Hong Kong or elsewhere subject to any disciplinary action or investigation ⁶ by a regulatory body ⁴ or criminal investigatory body ⁵ (as the case may be).	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否成為法院或其他主管當局作出的關於欺詐、不誠實行為或不當行為的命令的對象。I am or have been, in Hong Kong or elsewhere subject to any order of the court or other competent authority for fraud, dishonesty or misfeasance.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否擔任某個成為或會成為規管機構或刑事調查機構採取紀律行動或進行調查(視屬何情況而定)的對象的法團或業務的大股東 ⁷ 或董事, 或參與管理此類法團或業務。I am or have been, in Hong Kong or elsewhere a substantial shareholder ⁷ or director of a corporation or business that is or has been subject to any disciplinary action or investigation by a regulatory body or criminal investigatory body (as the case may be), or involved in the management of such corporation or business.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否擔任某個成為或會成為法院或其他主管當局作出的關於欺詐、不誠實行為或不當行為的命令的對象的法團或業務的大股東 ⁷ 或董事, 或參與管理此類法團或業務。I am or have been, in Hong Kong or elsewhere a substantial shareholder ⁷ or director of a corporation or business that is or has been subject to any order of the court or other competent authority for fraud, dishonesty, or misfeasance, or involved in the management of such corporation or business.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否參與任何司法程序或其他法律程序。I am or have been, in Hong Kong or elsewhere engaged in any judicial or other proceedings.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否成為與本人的債權人作出債務償還安排或任何方式的債務妥協的一方。I am or have been, in Hong Kong or elsewhere a party to a scheme of arrangement, or any form of compromise, with my creditors.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否不遵從任何判決或法院命令。I am or have been, in Hong Kong or elsewhere in default of compliance with any judgment or court order.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否擔任已藉成員自動清盤以外的其他方式清盤的法團或業務的大股東 ⁷ 或董事, 或參與管理此類法團或業務。I am or have been, in Hong Kong or elsewhere a substantial shareholder ⁷ or director of a corporation or business which was wound up otherwise than by way of a member's voluntary winding up, or involved in the management of such corporation or business.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否擔任已藉全體合夥人同意以外的其他方式解散的商號的合夥人。I am or have been, in Hong Kong or elsewhere a partner of a firm which was dissolved other than with the consent of all the partners.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否破產或察覺存在有任何可能使本人無償債能力或導致根據《破產條例》(第6章)委任本人財產的接管人的事宜。I am or have been, in Hong Kong or elsewhere bankrupt or aware of the existence of any matters that might render me insolvent or lead to the appointment of a receiver of my property under the Bankruptcy Ordinance (Cap. 6).	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否被拒或被限制行使進行交易、業務或專業的權利, 而法律規定進行該交易、業務或專業是須有特定牌照、註冊或其他許可的。I am or have been, in Hong Kong or elsewhere refused or restricted from the right to carry on any trade, business or profession for which a specific license, registration or other authorization is required by law.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否擔任某個被拒或被限制行使進行交易、業務或專業的權利的法團的大股東 ⁷ 或董事, 或參與管理此類法團, 而法律規定進行該交易、業務或專業是須有特定牌照、註冊或其他許可的。I am or have been, in Hong Kong or elsewhere a substantial shareholder ⁷ or director of a corporation that has been refused or restricted from the right to carry on any trade, business or profession for which a specific license, registration or other authorization is required by law, or involved in the management of such corporation.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否喪失擔任董事的資格。I am or have been, in Hong Kong or elsewhere disqualified from holding the office of director.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
本人在香港或其他地方是否或曾否作為《精神健康條例》(第136章)第2條所界定的病人 ⁸ 。I am or have been, in Hong Kong or elsewhere a patient ⁸ as defined in Section 2 of the Mental Health Ordinance (Cap. 136).	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No

